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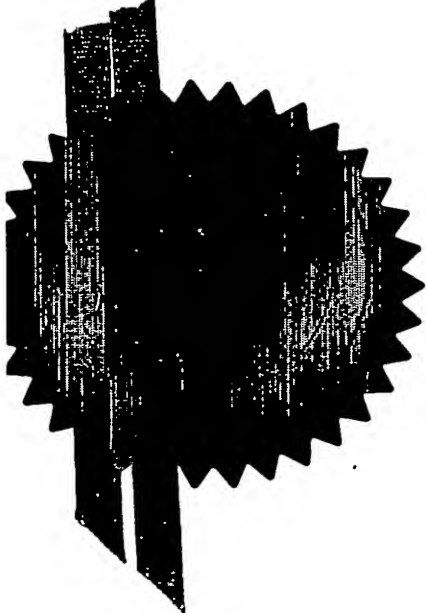
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SMC 60558/GB/PI

2. Patent application number

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0226710.2

18NOV02 E763924-1 002944

P0177700 0.00-0226710.2

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Avecia Limited
Hexagon House
Blackley
Manchester, M9 8ZS
United Kingdom

Patents ADP number (if you know it)

07764137001

If the applicant is a corporate body, give the country/state of its incorporation

GB

4. Title of the invention

INKS

5. Name of your agent (if you have one)

MAYALL, John

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Avecia Limited
Hexagon House
Blackley
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United Kingdom

Patents ADP number (if you know it)

6244313002

06244313004

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Country

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Date of filing
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Number of earlier application

Date of filing
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Description

09

Claim(s)

01

Abstract

Drawing(s)

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Translations of priority documents

Statement of inventorship and right to grant of a patent (PCT/US Form 7/77)

**Request for preliminary examination
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Request for substantive examination
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Any other documents
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I/We request the grant of a patent on the basis of this application.

Signature

Date 15/11/02

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SMC 60558

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APPLICANTS
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TITLE

INKS

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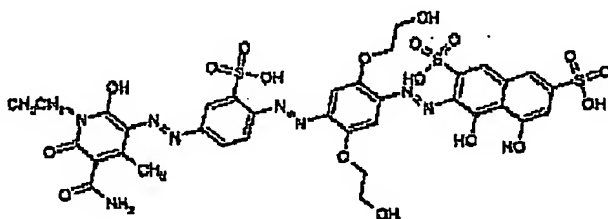
INKS

This invention relates to inks of use in ink jet printing ("IJP"). IJP is a non-impact printing technique in which droplets of ink are ejected through a fine nozzle onto a substrate without bringing the nozzle into contact with the substrate.

There are many demanding performance requirements for inks used in IJP. For example they desirably provide sharp, non-feathered images having good water-fastness, light-fastness and optical density. The inks are often required to dry quickly when applied to a substrate to prevent smudging, but they should not form a crust over the tip of ink jet nozzles because this will stop the printer from working. The inks should also be stable to storage over time without decomposing or forming a precipitate which could block the fine nozzles.

We have now devised an ink which is particularly useful for ink jet printing.

According to the present invention there is provided an ink comprising a liquid medium and a tris-azo compound of Formula (1) or salt thereof.



Formula (1)

wherein the liquid medium comprises water and an organic solvent.

When compound of Formula (1) is in the form of a salt preferred salts are alkali metal salts, especially lithium, sodium and potassium salts, ammonium and substituted ammonium salts. Especially preferred salts are salts with ammonia and volatile amines. The free acid form may be converted into a salt using known techniques. For example, an alkali metal salt may be converted into a salt with ammonia or an amine by dissolving an alkali metal salt of the composition in water, acidifying with a mineral acid and adjusting the pH of the solution to pH 9 to 9.5 with ammonia or the amine and removing the alkali metal cations by dialysis.

The ink preferably comprises:

- (a) from 0.01 to 30 parts of a compound of Formula (1) or salt thereof; and
- (b) from 70 to 99.99 parts of a liquid medium comprising water and an organic solvent;

wherein all parts are by weight and the number of parts of (a)+(b)=100.

The number of parts of component (a) is preferably from 0.1 to 20, more preferably from 0.5 to 15, and especially from 1 to 5 parts. The number of parts of component (b) is preferably from 99.9 to 80, more preferably from 99.5 to 85, especially from 99 to 95 parts.

Preferably component (a) is completely dissolved in component (b). Preferably component (a) has a solubility in component (b) at 20°C of at least 10%. This allows the preparation of liquid dye concentrates which may be used to prepare inks and reduces the chance of the dye precipitating if evaporation of the liquid medium occurs during storage.

The weight ratio of water to organic solvent is preferably from 99:1 to 1:99, more preferably from 99:1 to 50:50 and especially from 85:5 to 80:20.

It is preferred that the organic solvent present in the mixture of water and organic solvent is a water-miscible organic solvent or a mixture of such solvents. Preferred water-miscible organic solvents include C₁₋₆-alkanols, preferably methanol, ethanol, n-propanol, isopropanol, n-butanol, sec-butanol, tert-butanol, n-pentanol, cyclopentanol and cyclohexanol; linear amides, preferably dimethylformamide or dimethylacetamide; ketones and ketone-alcohols, preferably acetone, methyl ether ketone, cyclohexanone and diacetone alcohol; water-miscible ethers, preferably tetrahydrofuran and dioxane; diols, preferably diols having from 2 to 12 carbon atoms, for example pentane-1,5-diol, ethylene glycol, propylene glycol, butylene glycol, pentylene glycol, hexylene glycol and thiodiglycol and oligo- and poly-alkyleneglycols, preferably diethylene glycol, triethylene glycol, polyethylene glycol and polypropylene glycol; triols, preferably glycerol and 1,2,6-hexanetriol; mono-C₁₋₄-alkyl ethers of diols, preferably mono-C₁₋₄-alkyl ethers of diols having 2 to 12 carbon atoms, especially 2-methoxyethanol, 2-(2-methoxyethoxy)ethanol, 2-[2-(2-ethoxyethoxy)-ethoxy]ethanol, 2-[2-(2-methoxyethoxy)ethoxy]ethanol, 2-[2-(2-ethoxyethoxy)-ethoxy]ethanol and ethyleneglycol monoallylether; cyclic amides, preferably 2-pyrrolidone, N-methyl-2-pyrrolidone, N-ethyl-2-pyrrolidone, caprolactam and 1,3-dimethylimidazolidone; cyclic esters, preferably caprolactone; sulphoxides, preferably dimethyl sulphoxide and sulpholane. Preferably the liquid medium comprises water and 2 or more, especially from 2 to 8, water-soluble organic solvents.

Typically the liquid medium will further comprise one or more surfactants, for example anionic and/or nonionic surfactants. Examples of anionic surfactants include: Sulfonate surfactants such as Sulfosuccinates (AerosolTM OT, A196; AY and GP, available from CYTEC) and Sulfonates (AerosolTM DPOS-45, OS available from CYTEC; WilconateTM C-50H available from WITCO; DowfaxTM 8390 available from DOW); and Fluoro surfactants (FluoradTM FC99C available from 3M). Examples of nonionic surfactants include: Fluoro surfactants (FluoradTM FC170C available from 3M); Alkoxylate surfactants (TergitolTM series 15S-5, 15S-7, and 15S-9 available from Union Carbide); and Organosilicone surfactants (SilwetTM L-77 and L-76-9 available from WITCO).

One or more buffers may optionally be included in the liquid medium to modulate pH of the ink. The buffers can be organic-based biological buffers or inorganic buffers, preferably, organic-based. Examples of preferably-employed buffers include tris(hydroxymethyl)aminomethane (TRIS), available from companies such as Aldrich Chemical (Milwaukee, Wis.), 4-morpholineethanesulfonic acid (MES), 4-morpholinepropanesulfonic acid (MOPS), and beta-hydroxy-4-morpholinepropanesulfonic acid.

acid (MOPSO). Further, the buffers employed should provide a pH ranging from about 3 to about 9 in the practice of the invention, preferably about 4 to about 6 and most preferably from about 4 to about 5.

5 One or more of the biocides commonly employed in inkjet inks may optionally be included in the liquid medium, such as Nuosept™ 95, available from Huls America (Piscataway, N.J.); Proxel™ GXL, available from Zeneca (Wilmington, Del.); and glutaraldehyde, available from Union Carbide Company (Bound Brook, N.J.) under the trade designation Ucarcide 250.

10 The liquid medium may optionally also include one or more metal chelator. Such chelators are used to bind transition metal cations that may be present in the ink. Examples of preferred metal chelators include: ethylenediaminetetraacetic acid ("EDTA"), diethylenetriaminepentaacetic acid ("DPTA"), trans-1,2-diaminocyclohexanetetraacetic acid ("CDTA"), ethylenedinitrilotetraacetic acid ("EGTA"), or other chelators.

15 In one embodiment inks according to the invention have a pH of from about 3 to about 5, preferably from about 3.5 to about 4.5. In another embodiment the pH of the ink is preferably from 4 to 11, more preferably from 7 to 10.

The viscosity of the ink at 25°C is preferably less than 50cP, more preferably less than 20 cP and especially less than 5cP.

20 The ink may also contain additional components conventionally used in ink jet printing inks, for example viscosity and surface tension modifiers, corrosion inhibitors and fogation reducing additives.

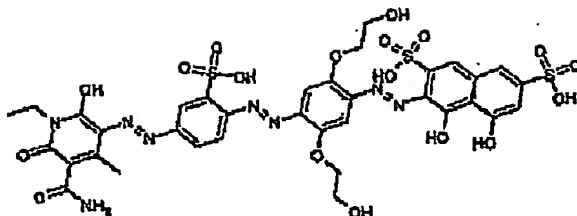
For the avoidance of doubt, the present invention relates solely to inks containing the compound of Formula (1). The scope of protection sought in this patent specification does not include the compound of Formula (1) per se (i.e. when it is not in an ink).

25 The inks of the present invention provide prints of attractive, neutral black shades that are particularly well suited for the ink jet printing of text and images. The inks have good storage stability and low tendency to block the very fine nozzles used in ink jet printers. Furthermore, the resultant images have good optical density, light-fastness, wet-fastness and resistance to fading in the presence of oxidising air pollutants (e.g. ozone).
30 The inks may be incorporated into ink jet cartridges and used in ink jet printers by any of the numerous known methods, for example as described in the many patent applications by Hewlett Packard, Seiko Epson, Canon and Lexmark. For example, the inks may be injected into an empty ink jet cartridge and the cartridge re-used in an ink jet printer in the conventional manner.

35 The invention is further illustrated by the following Example in which all parts and percentages are by weight unless specified otherwise. The abbreviation "Ac" means $\text{CH}_3\text{CO}-$.

Example 1

Preparation of an ink comprising:



Preparation of intermediate 2,5-di-(2-acetoxyethoxy)aniline

Step 1 - Preparation of 1,4-bis-(2-acetoxyethoxy)hydroquinone

Hydroquinonebis-(2-hydroxyethyl)ether (179g), acetic acid (100ml) and acetic anhydride (300ml) were stirred and heated under reflux overnight. After cooling to room temperature and drowning into water (2l) the product was isolated by filtration, washed with water, dried and recrystallised from ethanol to give 212g of product.

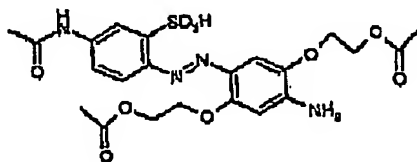
Step 2 - Preparation of 2-Nitro-1,4-bis-(2-acetoxyethoxy)hydroquinone

The product of step 1 (211.5g) was dissolved in acetic acid (1800ml). A mixture of nitric acid (51.9ml) and acetic acid (200ml) was then added over 20 minutes keeping the temperature below 20°C. After stirring at room temperature overnight the solution was drowned into water (9l) and the product isolated by filtration, washed with water and recrystallised from ethanol to give 209g of product.

Step 3 - Preparation of 2,5-di-(2-acetoxyethoxy)aniline

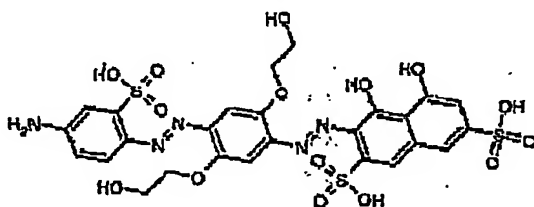
2-Nitro-1,4-bis-(2-acetoxyethoxy)hydroquinone (115g) was dissolved in ethanol at 50°C and reduced with hydrogen in the presence of palladium catalyst (2g, 5%Pd/C). When uptake of hydrogen ceased the solution was screened to remove the catalyst and the filtrates allowed to cool to room temperature. The crystalline solid was isolated by filtration and dried under vacuum to give 90g of product.

Stage one - Preparation of monoazo-4-(4-Acetylamino-2-sulpho-3-phenylazo)-2,5-di-(2-acetoxyethoxy)aniline



4-Amino-3-sulphoacetanilide (174g; 0.6 mol) was stirred in water (2.5l) at pH 9 and sodium nitrite (45.54g; 0.66 mol) added. The solution was added to ice/water containing concentrated hydrochloric acid (180ml) with stirring. After stirring for 1.5h at less than 10°C the excess nitrous acid was destroyed by the addition of sulphamic acid. 2,5-di-(2-acetoxyethoxy)aniline (178.2g; 0.6mol) was dissolved in acetone (1000 ml) and added to the above diazonium salt suspension at 0-10°C followed by the slow addition of pyridine (30ml). After stirring overnight at room temperature the precipitated product was filtered-off, washed with water. The damp paste was then stirred in acetone, filtered and dried (50°C) to give a an orange solid (210g; 64%).

Stage two - Preparation of bisazo Intermediate



The monoazo product from Stage one (24.75g; 0.05mol) was dissolved in water (300ml) with stirring at pH 10 to which sodium nitrite (6.90g; 0.1mol) and acetone (200ml) were added. The resulting mixture was then added to 0.10M hydrochloric acid (70ml) with stirring at room temperature. After stirring for 1h, the excess nitrous acid was destroyed by the addition of sulphamic acid. The resulting diazonium salt was then added to a stirred solution of chromotropic acid (20.00g; 0.05mol) at less than 10°C at pH 7-8 maintained by the addition of 2N lithium hydroxide when necessary. After stirring overnight the product was precipitated by the addition of 25% (w/v) lithium chloride then filtered and washed with 30% lithium chloride solution. The resulting damp paste was suspended in water (700ml) and lithium hydroxide hydrate (25.00g; 0.60mol) added and the solution heated at 70°C. After 3h the solution neutralised to pH 6-7 by the addition of concentrated hydrochloric acid. The product was precipitated by the slow addition of 20% lithium chloride, filtered and washed with 25% (w/v) lithium chloride solution. The damp paste was dissolved in water and then dialysed to low conductivity. The solution was evaporated to dryness (70 °C) to give a black powder (25.5g; 67%).

Stage Three- preparation of title dye

The amino disazo compound from Stage Two (0.016 mol) in water (160ml) was stirred at pH 9 to which calcolene oil (1ml) and sodium nitrite (1.20g; 0.0174 mol) were added. The resulting solution was stirred then added to ice / water (100g) containing concentrated hydrochloric acid (5ml) with stirring at 0 - 10°C. After stirring for 1h at 0 - 10°C the excess nitrous acid was destroyed by the addition of sulphamic acid. The resulting

diazonium salt was added to a stirred solution of 1-ethyl-1,2-dihydro-6-hydroxy-4-methyl-2-oxo-3-pyridinecarbonitrile (3.10g, 0.158 mol) in water (100ml) at 0-10°C and then adjusted to pH 7. After stirring overnight the solution was poured into acetone (3l) with stirring, filtered and washed with acetone. The solid dissolved in water and dialysed to low conductivity to give after evaporation (80°C) a black powder (8.9g; 61%; ϵ_{max} 81,232 and a λ_{max} 601nm.

Stage 4 - Preparation of Ink

An Ink may be prepared having the following formulation:

2-Pyrrolidone	5 parts
Thiodiglycol	5 parts
Surfynol™ 465	1 part (from Air Products Inc., USA)
Dye	3 parts
Water	86 parts

Example 2 - Ink Formulations

Inks may be prepared according to the following formulation wherein Dye is the compound from Example 1 from:

Inks A and B may be prepared having the formulation described below in which the balance to 100 parts in each ink was made up with deionised water:

Component	Ink A	Ink B
alkyl diol	12	15
2-pyrrolidone	5	2
polyethylene glycol	3.3	3.3
octyl dimethyl glycine	3.4	3.4
sodium hexadecyl diphenyloxide disulfonate	0.5	0.5
oleyl triethoxy monodiphosphate	0.4	0.4
chelating agent	0.1	0.1
secondary ethoxylate	0.7	0.7
dicarboxylic acid	5	5
Dye from Example 1	3	2.6
Deionised water	To 100 parts	To 100 parts

5

The following abbreviations are used in Table I and II:

PG = propylene glycol

10

NMP = N-methyl pyrrolidone

DMK = dimethylketone

IPA = Isopropanol

MEOH = methanol.

15

MIBK = methylisobutyl ketone

P12 = propane-1,2-diol

BDL = butane-2,3-diol

GCT= Tris(2-aminoethyl)amine buffer

20

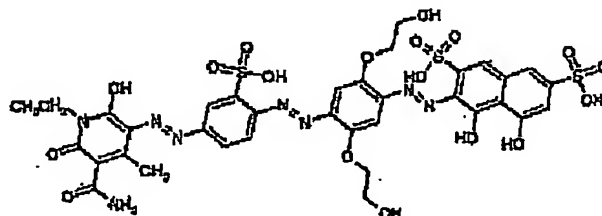
TBT = tertiary butanol

TDG = triodiglycol

Dye Content	Water	PG	BEG	NMP	DMK	NaOH	Na Stearate	IPA	MEOH	2P	MIBK
2.0	80	5		6	4					5	
3.0	90		5	5		0.2			5	1	1
10.0	85	3		3	3						5
2.1	91		8				0.2	4			4
3.1	86	5				0.5	0.5	6	10	9	5
1.1	81			9				10		5	
2.5	60	4	15	3	3						
5	65		20		5				6		5
2.4	75	5	4								
4.1	80	3	5	2	10		0.3			6	5
3.2	65		5	4	6			5	4		
5.1	96							5	4		
10.8	90	5									
10.0	80	2	6	2	5			1		4	
1.8	80		5							15	
2.6	84			11					2	5	6
3.3	80	2			10						
12.0	90				7	0.3		3		3	3
5.4	69	2	20	2	1					5	
6.0	91			4							

Claims

1. An ink comprising a liquid medium and a tris-azo compound of Formula (1) or salt thereof;



Formula (1)

wherein the liquid medium comprises water and an organic solvent.

2. An ink according to claim 1 which comprises:

- (a) from 0.01 to 30 parts of a compound of Formula (1) or salt thereof; and
(b) from 70 to 99.99 parts of a liquid medium comprising water and an organic solvent;

wherein all parts are by weight and the number of parts of (a)+(b)=100.

3. An ink according to claim 2 wherein the number of parts of component (a) is from 1 to 5 parts and the number of parts of component (b) is from 99 to 95 parts.

4. An ink according to claim 2 or 3 wherein component (a) is completely dissolved in component (b).

5. An ink according to any one of the preceding claims wherein the liquid medium comprises one or more anionic and/or nonionic surfactants.

6. An ink according to any one of the preceding claims wherein the liquid medium comprises one or more pH buffers.

7. An ink according to any one of the preceding claims wherein the liquid medium comprises one or more biocides.

8. An ink according to any one of the preceding claims wherein the liquid medium comprises one or more metal chelators.

9. An ink according to any one of the preceding claims having a pH of 3 to 6.

10. An ink according to any one of the preceding claims having a viscosity at 25°C of less than 50cP

PCT Application

GB0304938



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